Mountview House Group FAQ

Q. When is the next auction taking place?
A. Auctions are scheduled on a quarterly basis, and usually take place at 1pm on the 2nd Friday of January, April, July and October. Please visit the company page on the Asset Match website for a definitive list of dates.

Q. How do I participate in the auction?
A. To buy and sell shares in Mountview House Group, you will need to use a stock broker.

1) Using The Share Centre
The Share Centre is the preferred broker and settlement agent for all CREST eligible companies on AM Private Market. The Share Centre offers a competitively priced service for both certificated and non-certificated transactions. If you do not already have an account with The Share Centre, then you can open an account online or by calling 01296 41 41 41.

You can place orders with The Share Centre by calling 01296 41 42 43.

2) Using another stockbroker
If you already have a stockbroker (or an in-house dealing team if you are an institutional investor) then you can place an order by instructing your broker to face The Share Centre. Not all stockbrokers will be able to act on your behalf, and so you should check with them first. You may also incur additional fees.

Q. What price will I pay or receive for my shares?
A. The auction algorithm aggregates the buy and sell instructions received and calculates an "indicative price" based on supply and demand.

This indicative price, if available, will be displayed on the company page. The indicative price when the auction closes will be the final price of the auction. All successful buyers and sellers will trade at the final price.

A successful buyer is one whose limit price is the same as, or above, the final price. A successful seller is one whose limit price is the same as, or below, the final price.

Q. Do I need a stockbroker?
A. Yes. Current financial regulation requires that orders to buy or sell investments must be given to a professional intermediary who is regulated to deal with "Retail" clients and handle client money. This is usually a stockbroker or law firm, depending on the transaction type. In order to satisfy this regulatory requirement whilst keeping costs as low as possible, transactions arranged via Asset Match are handled by a stockbroker (referred to as the "preferred broker"). The preferred broker is able to confirm orders with you directly or with other stockbrokers.

If you already have a stockbroker that is willing to deal on your behalf, then please include their contact details in your instruction to us. If you do not have a stockbroker, or one who is willing to act on your behalf, then you can use Asset Match's preferred broker.

Q. Do I need to open an account with a stockbroker immediately?
A. Yes. You will need to open an account in order to place a buy or sell instruction into the auction.

Q. Who is the preferred broker?
A. Asset Match uses a stockbroker to confirm orders with successful buyers and sellers, handle client money and settle trades. Our preferred broker is **The Share Centre**.

The Share Centre offers a competitively priced service for both certificated and non-certificated transactions. If you do not already have an account with The Share Centre, then you can open an account online or by calling 01296 41 41 41.

You can place orders with The Share Centre by calling their Dealing Team on 01296 41 42 43.

Q. Can I change my instruction after I have submitted it?
A. Yes, you can amend or cancel your instruction at any time up until the auction closes at 1pm on the date of the auction. This should be done by contacting your stockbroker.

Q. What are the fees?
A. Successful buyers and sellers will each be charged a commission of 3% of the value of their instruction, subject to a £20 minimum. There are no charges for registering with Asset Match or submitting instructions for the auction.

Buyers will also be charged stamp duty on successful purchases.

Q. Are there any tax implications in buying and selling shares in the company?
A. Any queries regarding tax should be directed to your accountant or independent financial adviser if you have one.