

**Applicants must fully complete all sections.**

If you have any queries about opening an account please call us on 01892 700834

In order to **protect your personal information** when sending back your form we recommend you use a recorded or tracked mail service.

## 1. Applicant Details

### 1<sup>st</sup> Applicant

Title (eg. Mr/Mrs/Dr etc)

Surname

Forenames in full

Permanent residential address

Postcode

Date of birth

Town/City of birth

Country of birth

Country of residence

Country of taxation

Nationality

National Insurance Number/National Identifier

If you have more than one nationality please list on a separate sheet together with the relevant national identifier for that nationality – further details regarding national identifiers can be found on our website or call us.

Telephone (Home)

Telephone (Mobile)

Email

If you have been living at the above address for less than 12 months please provide your previous address

Postcode

### 2<sup>nd</sup> Applicant – joint accounts only

Title (eg. Mr/Mrs/Dr etc)

Surname

Forenames in full

Permanent residential address

Postcode

Date of birth

Town/City of birth

Country of birth

Country of residence

Country of taxation

Nationality

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Telephone (Home)

Telephone (Mobile)

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If you have been living at the above address for less than 12 months please provide your previous address

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## 2. Bank Account Details

Name of Bank

Sort code  –  –

Account number

I confirm that this is a UK bank account held in the name of

Quarterly income facility  (✓ if required)

### 3. Employment Details

#### 1<sup>st</sup> Applicant

##### Employment Type

- Full-time employment
- Part-time employment
- Self employed
- Homemaker
- Unpaid work/ Volunteer
- Unemployed
- Retired

Occupation / Job title

Employer name

Nature of business

Date joined current employment

#### 2<sup>nd</sup> Applicant – joint accounts only

##### Employment Type

- Full-time employment
- Part-time employment
- Self employed
- Homemaker
- Unpaid work/ Volunteer
- Unemployed
- Retired

Occupation / Job title

Employer name

Nature of business

Date joined current employment

### 4. Investment Details

#### 1<sup>st</sup> Applicant

##### Source of wealth

- Employment
- Investment
- Savings
- Pension
- Inheritance
- Family Trust
- Business Ownership/ Sale
- Property Ownership/ Sale

Other

##### Source of funds

- Employment
- Investment
- Savings
- Pension
- Inheritance
- Family Trust
- Business Ownership/ Sale
- Property Ownership/ Sale

Other

##### Approx. anticipated individual trade size

- 0 - £5,000
- £5,001 - £25,000
- £25,001- £99,999
- £100,000+

##### Approx. frequency of trading

- Execution only trades: One off
- Execution only trades: 1-10
- Execution only trades: 11-25
- Execution only trades: 25-99
- Execution only trades: 100+

Initial investment amount (£)

#### 2<sup>nd</sup> Applicant – joint accounts only

##### Source of wealth

- Employment
- Investment
- Savings
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- Execution only trades: 1-10
- Execution only trades: 11-25
- Execution only trades: 25-99
- Execution only trades: 100+

Initial investment amount (£)

## 5. Experience and qualifications

### 1<sup>st</sup> Applicant

#### PDMR details

A person discharging managerial responsibilities (PDMR) will typically be privy to potentially price sensitive ‘inside’ information in relation to the company they work for and are likely to hold senior managerial roles, for example, at Director or Board level. A person closely associated (PCA) with a PDMR is a spouse, family member, business partner or another known association. If this applies to you, please enter the details below.

#### Investment experience

- None
- Less than 3 years
- More than 3 years

#### Types of instruments invested in previously

e.g. Stocks, exchange-traded funds (ETFs), mutual funds, real estate investment trusts (REITs), bonds, derivatives contracts (i.e. options, futures, and swaps), certificates of deposit (CDs), bank deposits, loans.

#### Previous investment frequency

- Trading (very frequently)
- More than once a month
- Less than once a month

#### Investment education or qualifications

Please list any professional bodies you are a member of

### 2<sup>nd</sup> Applicant – joint accounts only

#### PDMR details

A person discharging managerial responsibilities (PDMR) will typically be privy to potentially price sensitive ‘inside’ information in relation to the company they work for and are likely to hold senior managerial roles, for example, at Director or Board level. A person closely associated (PCA) with a PDMR is a spouse, family member, business partner or another known association. If this applies to you, please enter the details below.

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#### Previous investment frequency

- Trading (very frequently)
- More than once a month
- Less than once a month

#### Investment education or qualifications

Please list any professional bodies you are a member of

## 6. Terms and conditions

**Please print or download the terms and conditions for your records.**

- I/We confirm that the information is true and complete. I authorise Jarvis Investment Management Ltd (Jarvis) to make any electronic verification of my identity and to complete Anti-Money Laundering and financial crime checks in connection with this application. If Jarvis is unable to complete these checks electronically, I accept that they may contact me to request additional documents to complete the checks.
- I/We have read, understood, and agree to be bound by the Terms and Conditions of the service and acknowledge the risk warnings contained within them.
- I/We will advise Jarvis of any change in my/our details and circumstances relevant to the services provided to me/us.
- I/We confirm that I/we am/are not acting for, or on behalf of, a US person. I/We accept that should information come to the attention of Jarvis which gives reasonable cause for them to believe I/we am/are a US person, Jarvis will give me/us notice and close my/our Account.
- I/We confirm that I/we am/are a UK resident and that I/we am/are liable to UK tax.
- I agree that Jarvis may contact me about their financial services and products.
- I/We confirm that we have read, understood and agree to the Privacy Policy which explains how Jarvis collects and manages my personal data.
- I declare that this application form has been completed to the best of my knowledge and belief.

*As part of the EC Money Laundering Directive we are required to verify your identity. By submitting your application to us you agree that we may undertake a search with Experian for the purposes of verifying your identity. To do so Experian may check the details you supply against any particulars on any database (public or otherwise) to which they have access. They may also use your details in the future to assist other companies for verification purposes. A record of the search will be retained.*

### Signed

1 <sup>st</sup> Applicant	<input type="text"/>	Date	<input type="text"/>
2 <sup>nd</sup> Applicant	<input type="text"/>	Date	<input type="text"/>

**Please return the signed application form to:**

Jarvis Investment Management Ltd, 78 Mount Ephraim, Tunbridge Wells, Kent TN4 8BS